

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

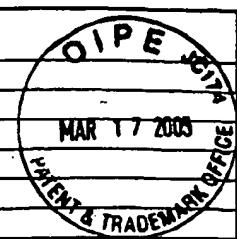
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of

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Complete if Known

Application Number	09/753,686
Filing Date	January 4, 2001
First Named Inventor	Adrian E. Colley
Art Unit	2126
Examiner Name	Van Nguyen



Attorney Docket Number

06502.0267-00000

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initials	Cite No.	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code (if known)			
VN		4,800,488	1/24/1989	Agrawal et al.	
		5,341,477	8/23/1994	Pitkin et al.	
		5,721,825	2/24/1998	Lawson et al.	
		6,360,266 B2	3/19/2002	Pettus	
		6,603,772	8/5/2003	Moussavi et al.	
		6,757,262	6/29/2004	Weisshaar et al.	
		6,801,949	10/5/2004	Bruck et al.	
		6,804,711	10/12/2004	Dugan et al.	
		US2002/0111814 A1	8/15/2002	Barnett et al.	
		US2003/0005132 A1	1/2/2003	Nguyen et al.	
		US2003/0191984 A1	10/9/2003	Flaherty et al.	
		US5,339,430	8/16/1994	Lundin et al.	
		US5,491,791	2/13/1996	Glowny et al.	
		US5,721,825	2/24/1998	Lawson et al.	
		US5,806,042	9/8/1998	Kelly et al.	
		US6,018,619	1/25/2000	Allard et al.	
		US5,915,112	6/22/1999	Boutcher	
		US5,978,773	11/2/1999	Hudetz et al.	
		US6,363,409	3/26/2002	Hart et al.	
		US6,301,613 B1	10/9/2001	Ahlstrom et al.	
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		US6,418,468 B1	7/9/2002	Ahlstrom et al.	
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		US6,604,127 B2	8/5/2003	Murphy et al.	
		US6,604,140 B1	8/5/2003	Beck et al.	
		US6,757,729 B1	6/29/2004	Devarakonda et al.	
VN		US6,801,940 B1	10/5/2004	Moran et al.	

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Examiner Signature	<i>Van Nguyen</i>	Date Considered	5/6/05
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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NON PATENT LITERATURE DOCUMENTS

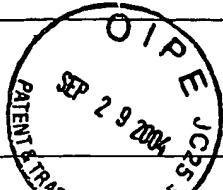
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
VR		Amitabh, D., et al., Proxies, Application Interfaces, and Distributed Systems, IEEE, pp. 212-220, 1992.	
VR		Wollrath, A., et al., "Java-Centric Distributed Computing," IEEE Micro, pp. 44-53, 1997.	

Examiner Signature

Date Considered

5/6/01

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INFORMATION DISCLOSURE CITATION
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Atty. Docket No.: 05502.0267

Application No.: 09/753,686

Applicant: Adrian E. COLLEY et al.

Filing Date: January 4, 2001

U.S. PATENT DOCUMENTS

Examiner Initial*		Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
VV		5,339,430	08/16/1994	Lundin et al.	✓		
✓		5,806,042	09/08/1998	Kelly et al.	✓		
		5,999,988	12/07/1999	Pelegrí-Llopí et al.	✓		
		6,018,619	01/25/2000	Allard et al.	✓		
		6,301,613 B1	10/09/2001	Ahlstrom et al/	✓		
		6,418,468 B1	07/09/2002	Ahlstrom et al.	✓		
		6,604,127 B2	08/05/2003	Murphy et al.	✓		
		6,604,140 B1	08/05/2003	Beck et al.	✓		
		2003/0191842 A1	10/09/2003	Murphy et al.	✓		
VV		6,654,793 B1	11/25/2003	Wollrath et al.	✓		

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 Wollrath et al., "JAVA-Centric Distributed Computing," IEEE Mirco May/June 1997, pages 44-53.

Examiner

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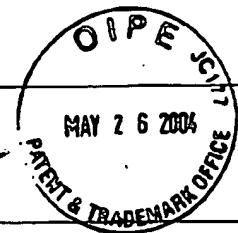
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INFORMATION DISCLOSURE CITATION
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Atty. Docket No.: 06502.0267

Application No.: 09/753,686

RECEIVED

Applicant: Adrian E. COLLEY et al.

MAY 27 2004

Filing Date: January 4, 2001

Group: 2126

Technology Center 2100

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
VN	5,446,901	08/29/1995	Owicki et al.	←	—	
	5,459,837	10/17/1995	Caccavale	←	—	
	5,548,724	08/20/1996	Akizawa et al.	←	—	
	5,644,720	07/1/1997	Boll et al.	↑	—	
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	5,758,077	05/26/1998	Danahy et al.	←	—	
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	6,104,716	08/15/2000	Crichton et al.	—	—	
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VN	6,292,934 B1	09/18/2001	Davidson et al.	—	—	
VN	6,408,342 B1	06/18/2002	Moore et al.	—	—	

Examiner	<i>Anh Nguyen</i>	Date Considered:	<i>5/6/05</i>
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MAY 26 2004

Atty. Docket No. 105000000267

Application No.: 09/753,686

Applicant: Adrian E. COLLEY et al.

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Filing Date: January 4, 2001

Group: 2126

MAY 27 2004

Technology Center 2100

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
VN	6,578,074 B1	06/10/2003	Bahlmann	—	—	
VN	6,654,793	11/25/2003	Wollrath et al.	—	—	

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Examiner Initial*	Document Number	Date	Country	Class	Sub Class	Translation Yes or No
VN	WO01/13228 A2	02/22/2001	PCT	—	—	
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	WO01/90903 A1	11/29/2001	PCT	—	—	
VN	WO99/17194	04/08/1999	PCT	—	—	

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VN	AUTO-ID CENTER, "Auto-ID Savant Specification 1.0," Version of 13 October 2003 (58 pages).
	H.A. Smith and J.D. McKeen, "Object-Oriented Technology: Getting Beyond the Hype," ACM, Spring 1996, Vol. 27, pages 20-29.
	Java Remote Method Invocation Specification, JDK 1.1 FCS, Sun Microsystems, Inc. Feb. 1997, chapters 5 and 7.
VN	Jennings, N.R. et al., "Using Intelligent Agents to Manage Business Processes," Dept. Electronic Engineering, Queen Mary & Westfield College, Mile End Road, London, E1 4NS, U.K., XP-002254546, 1996, 16 pages.

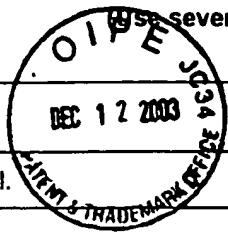
Examiner	<i>Anita Mayer</i>	Date Considered:	5/6/05
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Atty. Docket No.: 06502.0267

Application No.: 753,686/09

Applicant: Adrian E. COLLEY et al.

Filing Date: January 4, 2001

Group: 2126

U.S. PATENT DOCUMENTS

Examiner Initial*		Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
✓✓		2002/0059212A1	05/16/2002	Takagi	—	—	10/22/2001
✓✓		6,009,464	12/28/1999	Hamilton et al.	—	—	10/16/1995
							RECEIVED
							DEC 17 2003
							Technology Center 2100

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Examiner Initial*		Document Number	Date	Country	Class	Sub Class	Translation Yes or No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner

Anh Nguyen

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5/6/05

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SEP 17 2003

OMB No. 0651-0011

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INFORMATION DISCLOSURE CITATION
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Atty. Docket No.: 6502.0267-00

Application No.: 753,686/09

Applicant: Adrian E. COLLEY et al.

Filing Date: January 4, 2001

Group: 2122

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
VN	US 5,303,042	04/12/1994	Lewis et al.			
1	US 5,506,984	04/09/1996	Miller			
	US 5,754,977	05/19/1998	Gardner et al.			
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VN	US 6,219,675 B1	04/17/2001	Pal et al.			

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	International Search Report for PCT/US02/00136, June 24, 2003 (6 pages).
VN	"Java (TM) Object Serialization Specification," Sun Microsystems, Inc., XP-002242372, <www.dei.estg.iplei.pt/P3/N/material/extra/serial-spec-JDK1.2.pdf>, November 30, 1998 (76 pages).
1	Chan, P. et al., <u>The Java Class Libraries</u> , 2 nd Edition, Vol. 1, "Java.io ObjectInputStream," XP-002243027, pp. 1230-1232, 1262-1264, and 1283, March 9, 1998 (7 pages).
VN	Oprychal et al., "Efficient Object Serialization in Java," Department of Electrical Engineering and Computer Science, University of Michigan, XP-002242373, May 31, 1999 (6 pages).

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Group: 2122

U.S. PATENT DOCUMENTS

Examiner Initial*		Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
VW		US 5,101,346	03/31/1992	Ohtsuki			RECEIVED
							JUN 20 2003
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FOREIGN PATENT DOCUMENTS

Examiner Initial*		Document Number	Date	Country	Class	Sub Class	Translation Yes or No

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Atty. Docket No. 6502-0267-00	Appn. No. 09/753,686
Applicant Adrian E. COLLEY et al.	
Filing Date O 1 P S January 4, 2001	Group: 2122

APR 04 2003
PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
VM	5,774,729	06/30/1998	Carney et al.	—	—	
—	6,003,050	12/14/1999	Silver et al.	—	—	RECEIVED
—	6,253,256 B1	06/26/2001	Wollrath et al.	—	—	APR 07 2003
—	6,263,350 B2	07/17/2001	Wollrath et al.	—	—	
—	6,272,559 B1	08/07/2001	Jones et al.	—	—	Technology Center 2100
VM	6,343,308 B1	01/29/2002	Marchesseault	—	—	

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	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No

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VM	Osborn, "The Role of Polymorphism in Schema Evolution in an Object-Oriented Database," IEEE Transactions on Knowledge and Data Engineering, Vol. 1, No. 3, Sept. 1989, pp. 310,317.

Examiner	Canha Nguyen	Date Considered	5/6/05
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OCT 25 2002

Atty. Docket No.: 06502.0267-00000

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Applicant: Adrian E. COLLEY et al.

Filing Date: January 4, 2001

Group 2122

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
MN	US5,327,559	7/5/1994	Priven et al.			
	US5,724,540	3/3/1998	Kametani			
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VN	US6,385,643 B1	5/7/2002	Jacobs et al.			

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Examiner Initial*		Document Number	Date	Country	Class	Sub Class	Translation Yes or No

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Kolodner et al., "Atomic Garbage Collection: Managing a Stable Heap," ACM, 1989, pp. 15-25.

Examiner

Examiner John P. Muller

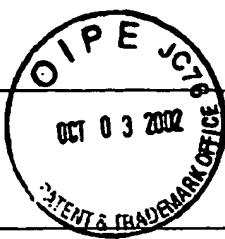
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Patent and Trademark Office - U.S. Department of Commerce

INFORMATION DISCLOSURE CITATION
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Group 2122

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OCT 04 2002

Technology Center 2100

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
VN	US4,567,359	1/28/1986	Lockwood			
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	US5,475,840	12/12/1995	Nelson et al.			
	US5,511,196	4/23/1996	Shackelford et al.	1		
	US5,544,040	8/6/1996	Gerbaulet			
	US5,592,375	1/7/1997	Salmon et al.	1		
	US5,664,110	9/2/1997	Green et al.	1		
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	US5,715,314	2/3/1998	Payne et al.	1		
	US5,721,832	2/24/1998	Westrope et al.	1		
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VN	US5,729,594	3/17/1998	Klingman			

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Group 2122

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U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
✓N	US5,742,768	4/21/1998	Gennaro et al.	—	—	
	US5,758,328	5/26/1998	Giovannoli	—	—	
	US5,778,179	7/7/1998	Kanai et al.	—	—	
	US5,790,677	8/4/1998	Fox et al.	—	—	
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	US6,009,413	12/29/1999	Webber et al.	—	—	
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✓N	US6,243,716 B1	6/5/2001	Waldo et al.	—	—	

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Group 2122

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OCT 04 2002

Technology Center 2100

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VN	Estrin, "Inter-Organization Networks: Implications of Access Control Requirements for Interconnection Protocols," ACM, 1986, pp. 254-263.

Examiner	<i>John Nguyen</i>	Date Considered:	<i>5/4/05</i>
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Atty. Docket No.: 06502.0267-00000

Application No.: 753,686/09

Applicant: Adrian E. COLLEY et al.

Filing Date: January 4, 2001

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Atty. Docket No.: 06502.0267-00000

Application No.: 09/753,686

Applicant: Adrian E. COLLEY et al.

Filing Date: January 4, 2001

Group Art Unit: 2122

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Applicant: Adrian E. COLLEY et al.

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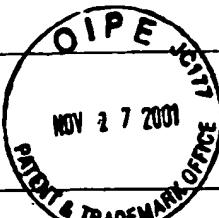
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Applicant	Adrian E. COLLEY et al.		
Filing Date	January 4, 2001	Group:	2122

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Serial No. 09/753,686

Applicant: Adrian E. Colley et al.

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Group Art Unit: 2122

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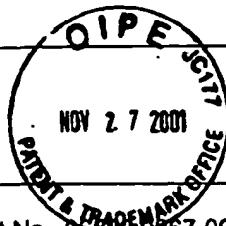
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Atty. Docket No. 00602-0267-00000

Serial No. 09/753,686

Applicant: Adrian E. Colley et al.

Filing Date: January 4, 2001

Group Art Unit: 2122

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Examiner	<i>Anita Haugen</i>	Date Considered:	<i>5/3/05</i>
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Serial No. 09/753,686

Applicant Adrian E. Colley et al.

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Examiner	<i>Anhau Nguyen</i>	Date Considered:	<i>5/3/05</i>
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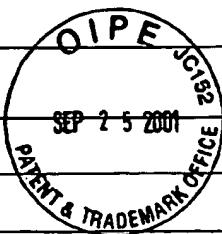
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Applicant Adrian E. Colley et al.	
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VN	5,440,744	08/08/1995	Jacobson et al.	→	→	

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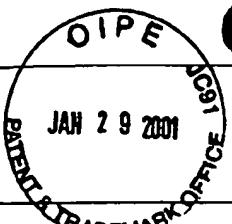
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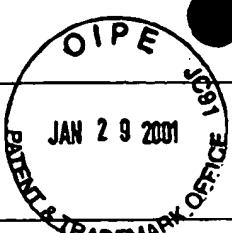
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